

STO.Broker

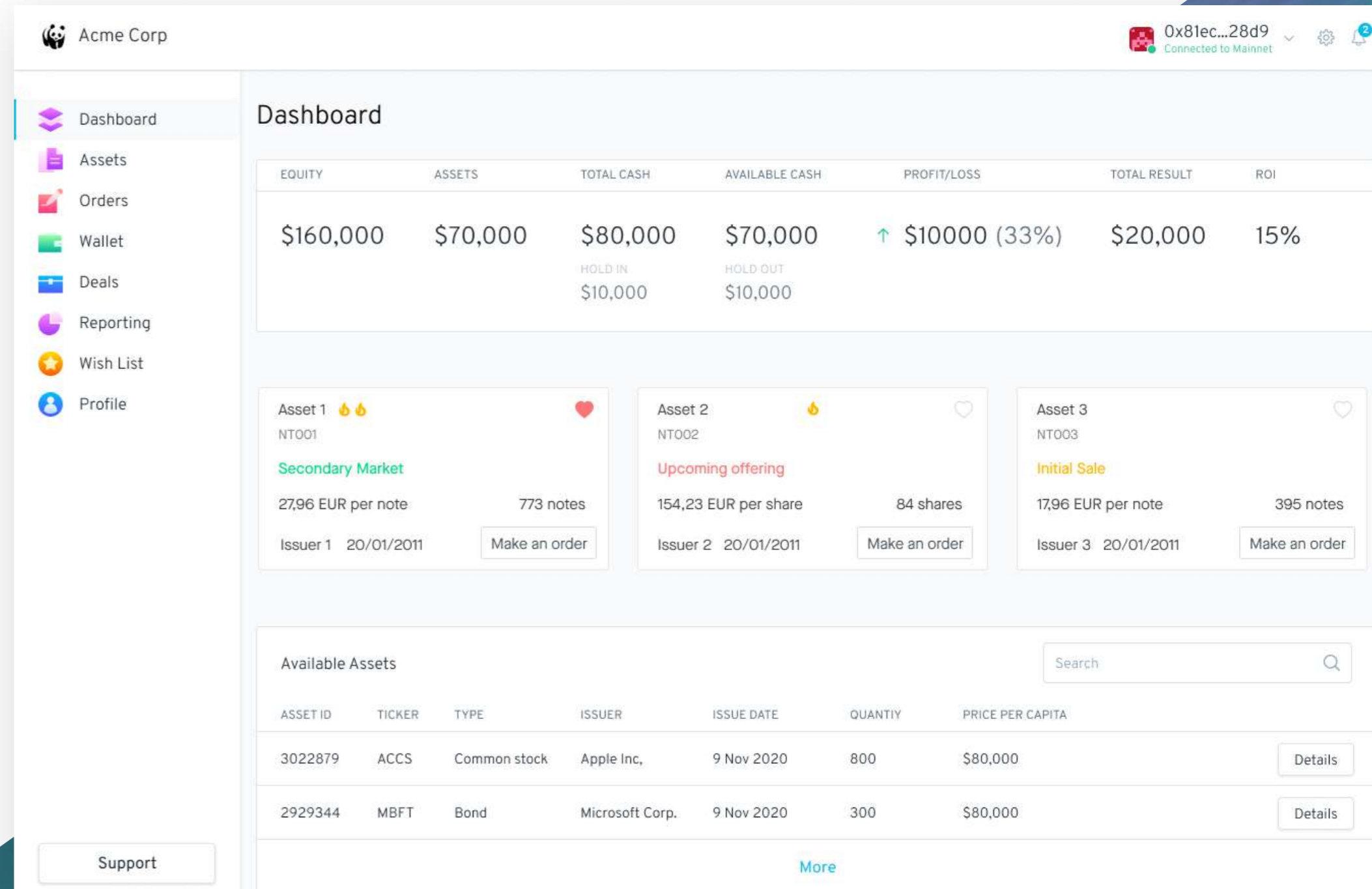
Your entry ticket to the world of decetralized trading



KURAGA
Investment OÜ

What is STO.Broker

- The accounting system for **blockchain security** management
- Issue tokenized securities and list existing tokens via **STO.Broker**
- Create your **Decentralized Financial (DeFi)** instruments and offer them to the market



The screenshot shows the STO.Broker dashboard for Acme Corp. The user is logged in as Ox81ec...28d9, connected to the Mainnet. The dashboard displays a summary of financial metrics and a list of available assets.

Acme Corp | Ox81ec...28d9 | Connected to Mainnet

Dashboard

EQUITY	ASSETS	TOTAL CASH	AVAILABLE CASH	PROFIT/LOSS	TOTAL RESULT	ROI
\$160,000	\$70,000	\$80,000	\$70,000	↑ \$10000 (33%)	\$20,000	15%
		HOLD IN \$10,000	HOLD OUT \$10,000			

Asset 1 (NT001) - Secondary Market - 27,96 EUR per note, 773 notes, Issuer 1 20/01/2011. [Make an order](#)

Asset 2 (NT002) - Upcoming offering - 154,23 EUR per share, 84 shares, Issuer 2 20/01/2011. [Make an order](#)

Asset 3 (NT003) - Initial Sale - 17,96 EUR per note, 395 notes, Issuer 3 20/01/2011. [Make an order](#)

Available Assets

ASSET ID	TICKER	TYPE	ISSUER	ISSUE DATE	QUANTITY	PRICE PER CAPITA	
3022879	ACCS	Common stock	Apple Inc.	9 Nov 2020	800	\$80,000	Details
2929344	MBFT	Bond	Microsoft Corp.	9 Nov 2020	300	\$80,000	Details

[Support](#) | [More](#)

Current Context in the World of Securities

Total industry costs for transaction clearing amount to approximately **1.5 - 3%** of the total trade value chain

Post-trade processing costs range from **17** to **24** billion dollars per year and may require a lot of intermediates to be engaged

Middle and back-offices are inefficient and poorly automated

Security governance mechanisms remain outdated

Current Issues in the Field of Security Trading Systems



Reliability

The problem of obtaining data and its reliability.

Security trading process implies the exchange of large amounts of trustworthy data. The more complex security trading becomes, the risk rises.



Data integrity

Complexity of information systems' integrations.

Order execution, security possession and company governance mechanisms are separated by various information systems



Market separation

The securities market is extremely fragmented while the transfer of securities between depositaries is a too bureaucratic, time-laggy and costly procedure.

Blockchain Technology Blockchain for Securitization of Assets

-
- 01** Blockchain plays the role of fair “worldwide” trustless depositary system. Securities and dividend payments can be stored in it.

 - 02** Brokers can create complex financial products that will be accessible for investors all over the world

 - 03** 24/7 and cheap and instantaneous transactions provide great trading opportunities

 - 04** Blockchain can be used for corporate governance, delegation and voting.

What is Decentralized Finance Concept?

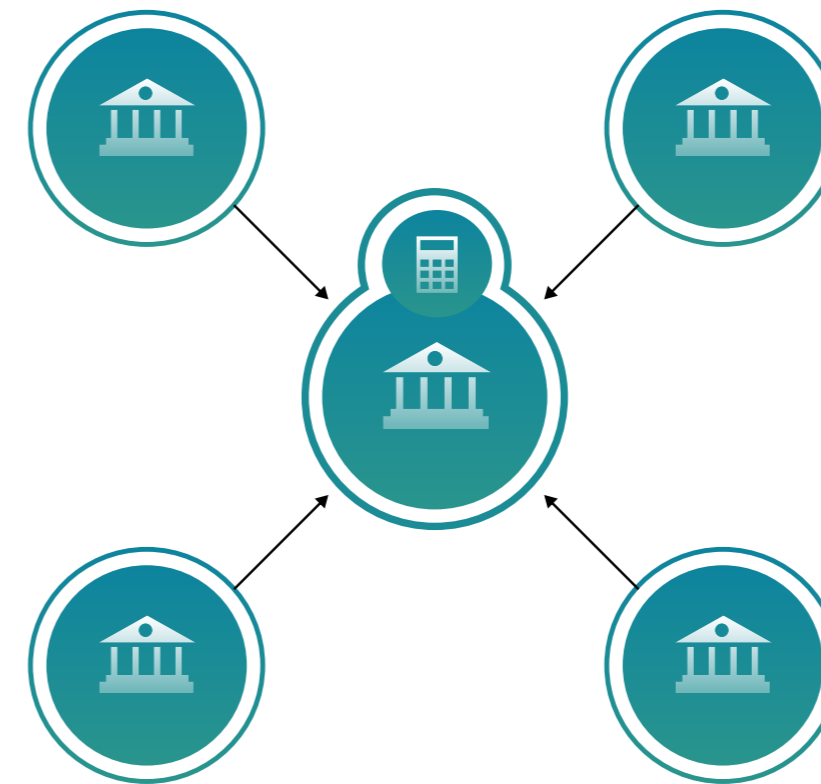
DeFi is a blockchain-based concept aimed **to provide transparent and secure financial institutions** built in a decentralized manner.

The fundamental basis for DeFi is blockchain technology which makes it possible for parties to set either **peer-to-peer connections** instead of relying on centralized bodies.

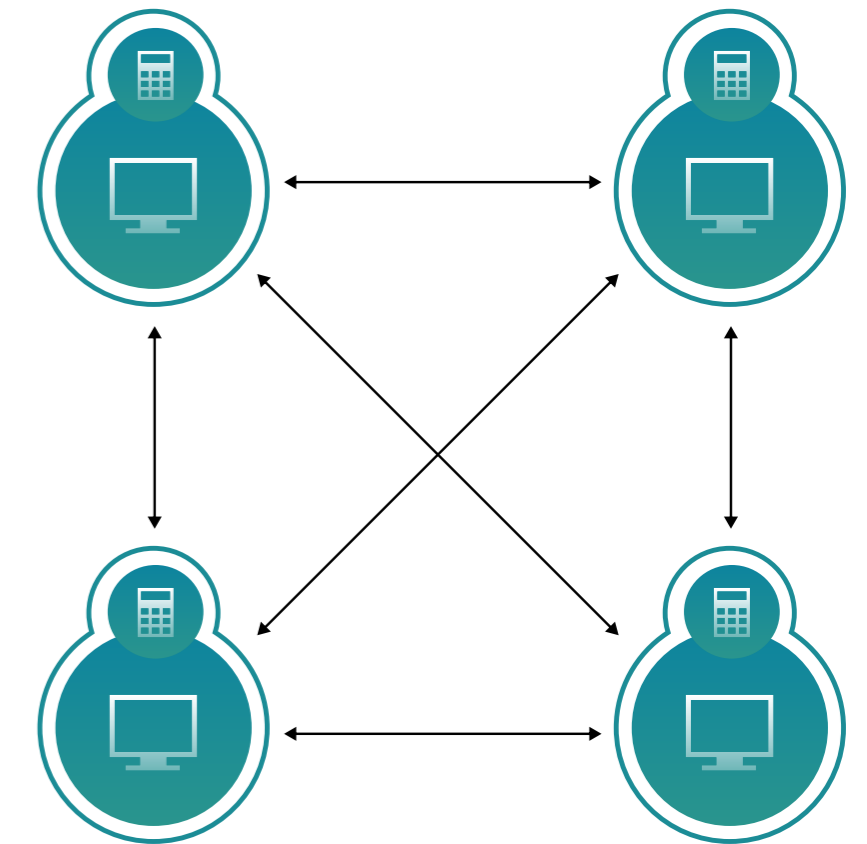
Blockchain serves as a trustworthy environment and an objective mean of facts recording (an accounting system in particular).

Smart contracts are used to automate agreement terms making transactions more secure and transparent.

**Traditional
Financial System**



**Decentralized
Financial System**



How Blockchain and DeFi Changed The World of Finance

- 01** Money spent on regulatory compliance can be saved using smart contracts and transparent record keeping that the blockchain offers. The cost difference in comparison with conventional issues may reach 30-40% in the long term.
- 02** Lockup periods, investor count, and other rules and regulations can be embedded in or alongside digital securities, allowing them to follow, enforce, and adapt to various jurisdictions automatically.
- 03** The nature of blockchain allows to ensure transactions transparency therefore reducing the burden and legal risks for a financial intermediate.

How to Use the Blockchain Technology for Security Trading



Our Solution

Module for investors compliance check and onboarding

Trading platform for security tokens and other tokenized assets

Role system and corresponding management tools for broker's back-office

Interfaces for STO contracts parameterization, deployment and management

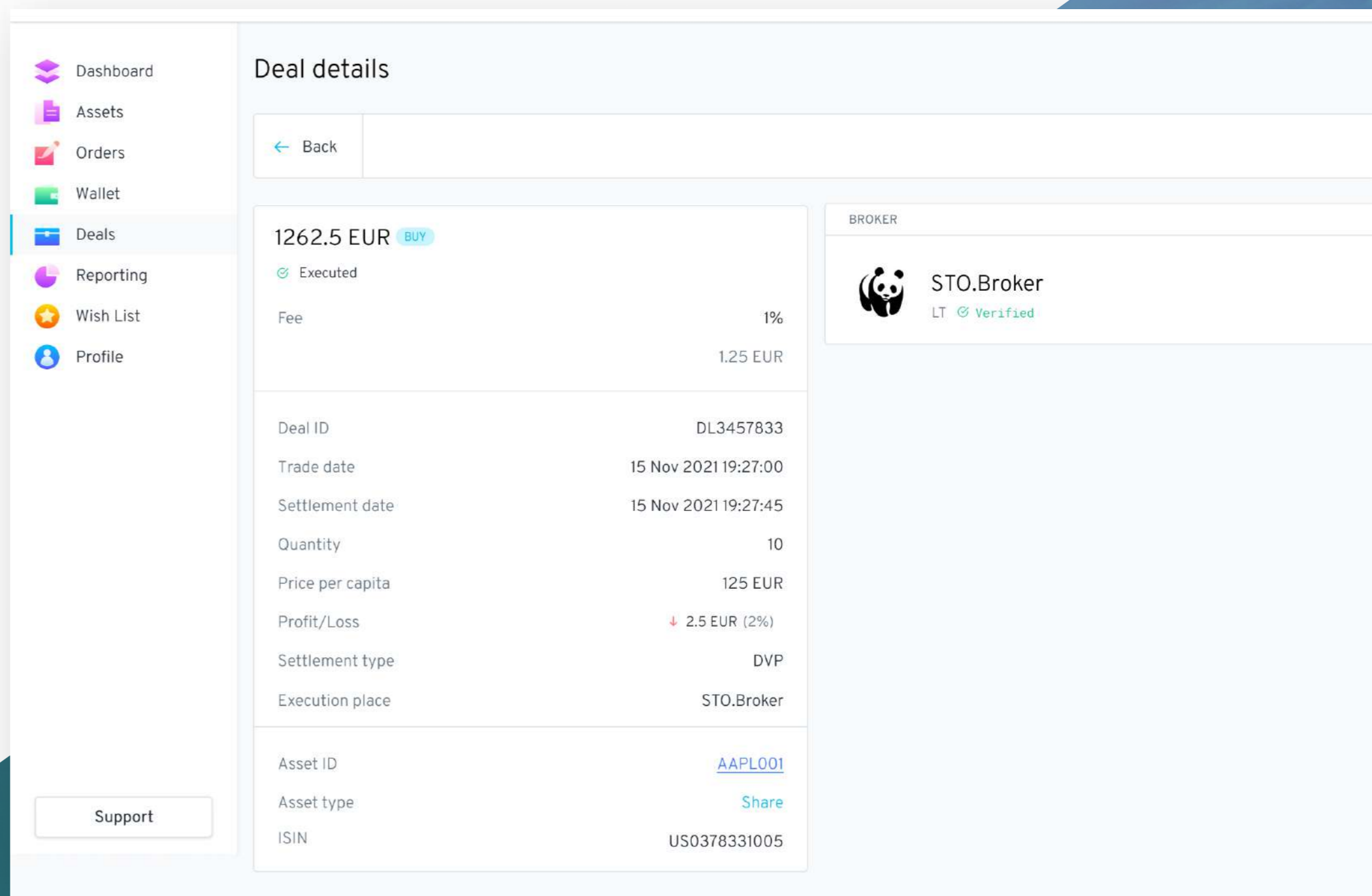
Integration with blockchain wallets unlocking DeFI convenience for securities market



**STO.
Broker**

Flexible Trading Platform for Tokenized Assets

- **Listing** of traditional securities and DeFi assets
- **Almost** instantaneous settlement
- **24/7** cross-border trading
- **Real ownership** of tokenized assets
- **Increased liquidity**



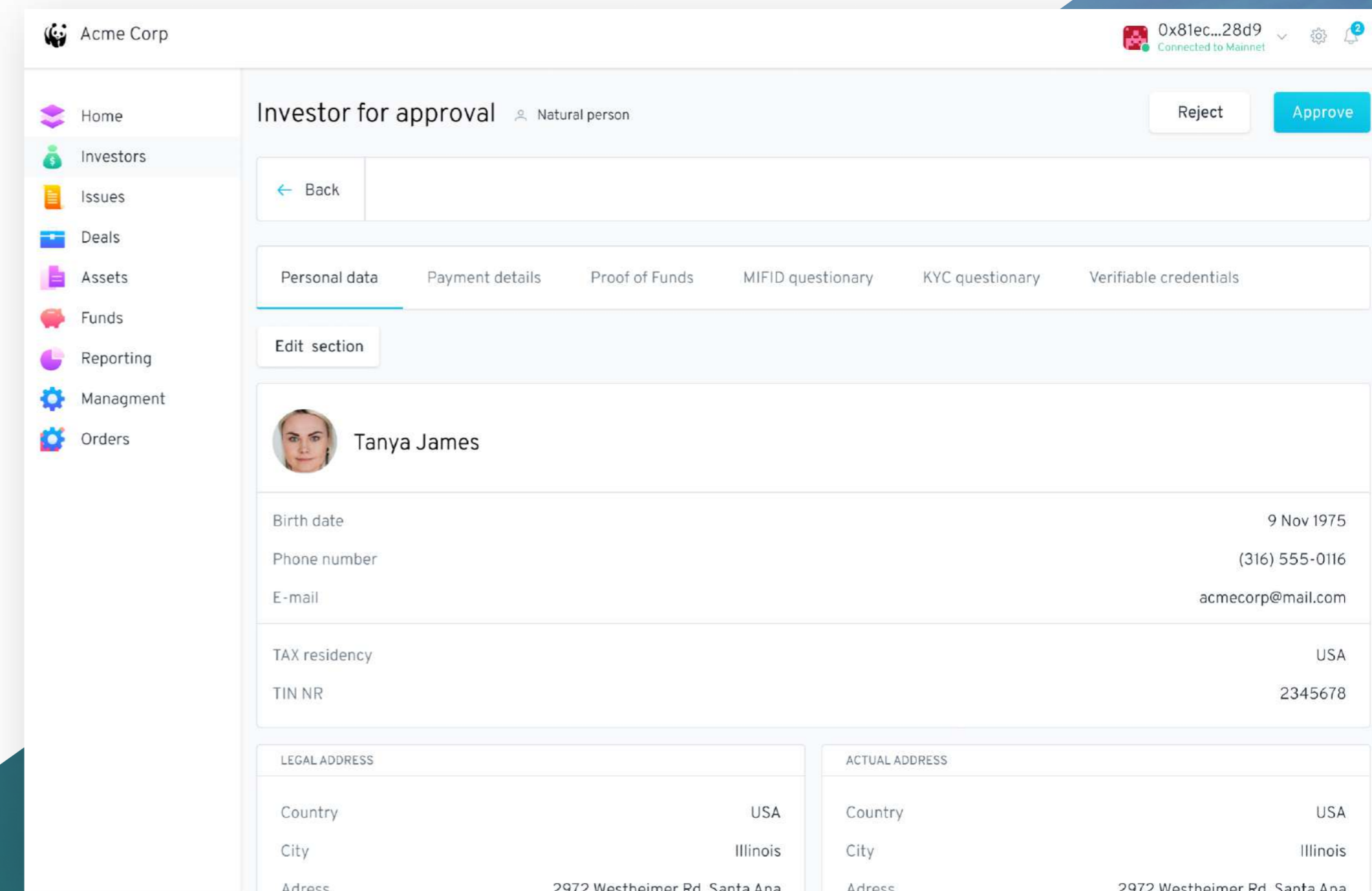
The screenshot displays the STO.Broker trading platform interface. On the left is a navigation menu with icons for Dashboard, Assets, Orders, Wallet, Deals (highlighted), Reporting, Wish List, and Profile. Below the menu is a 'Support' button. The main content area is titled 'Deal details' and includes a 'Back' button. The deal summary shows a value of 1262.5 EUR with a 'BUY' tag and an 'Executed' status. A fee of 1.25 EUR (1%) is listed. A table of deal details follows:

Deal ID	DL3457833
Trade date	15 Nov 2021 19:27:00
Settlement date	15 Nov 2021 19:27:45
Quantity	10
Price per capita	125 EUR
Profit/Loss	↓ 2.5 EUR (2%)
Settlement type	DVP
Execution place	STO.Broker

Below the table, the Asset ID is [AAPL001](#) and the Asset type is [Share](#). The ISIN is US0378331005. On the right side, the broker information is displayed as 'BROKER STO.Broker LT Verified' with a panda logo.

Attract Investors and Manage Their Accounts

- **Onboard** a new client in several clicks
- **Exchange** documents in a single place
- **Built-in** MIFID/KYC questionnaires



The screenshot shows the 'Investor for approval' page for Acme Corp. The interface includes a sidebar with navigation options: Home, Investors, Issues, Deals, Assets, Funds, Reporting, Management, and Orders. The main content area is titled 'Investor for approval' and shows a 'Natural person' profile for Tanya James. The profile details include:

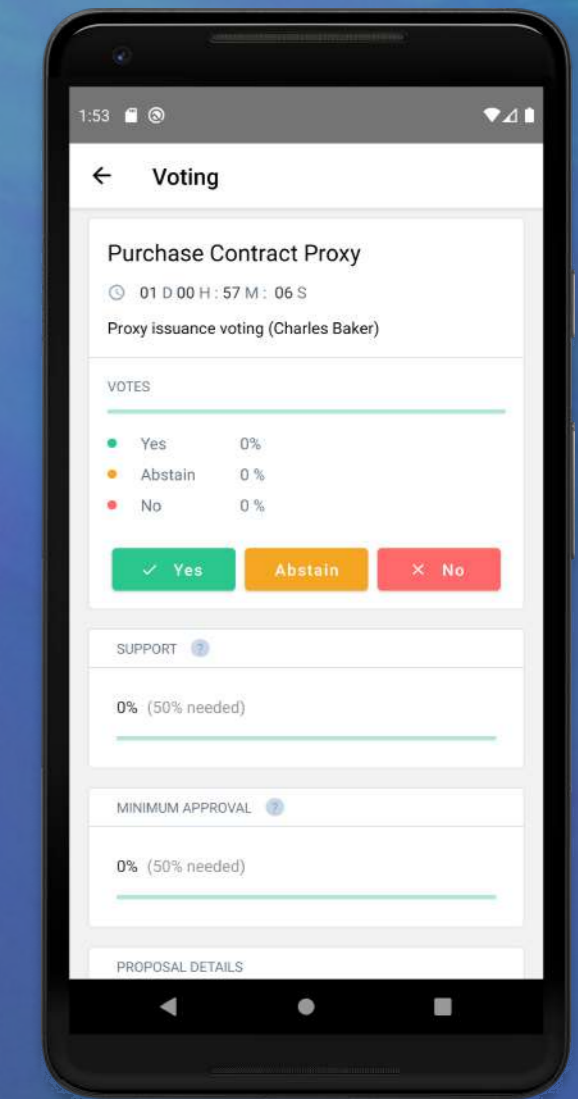
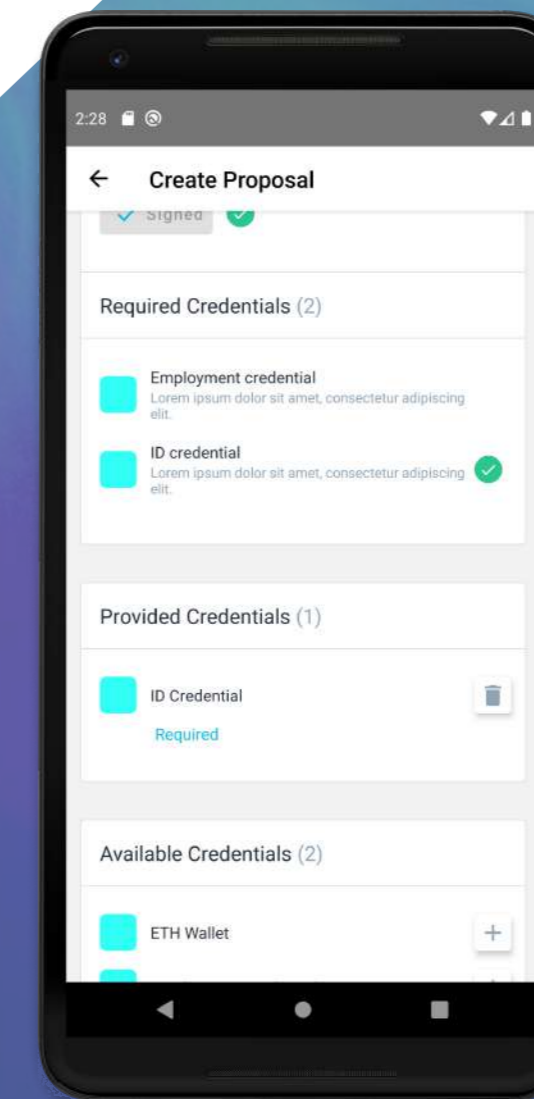
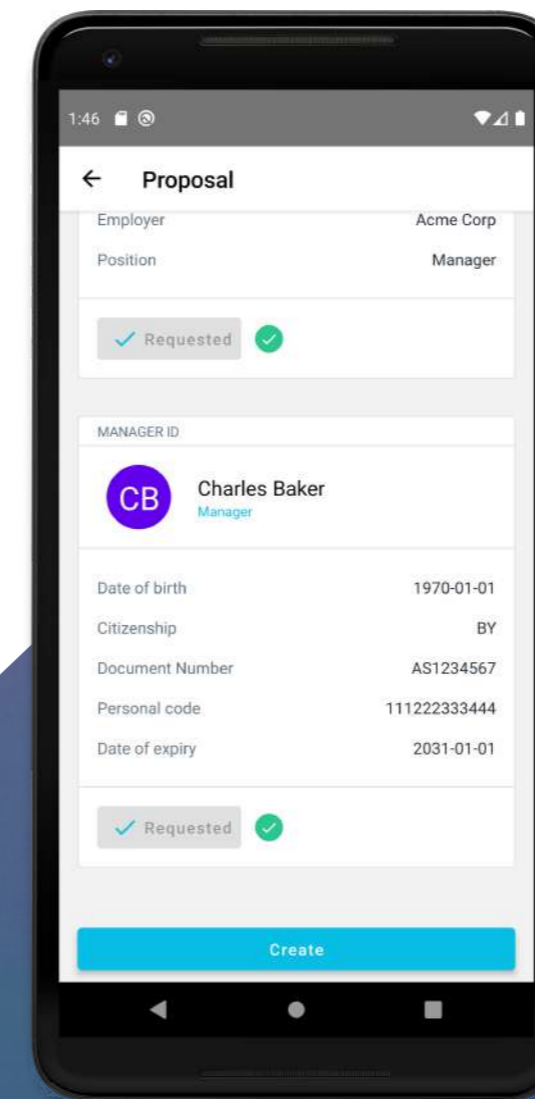
- Birth date: 9 Nov 1975
- Phone number: (316) 555-0116
- E-mail: acmecorp@mail.com
- TAX residency: USA
- TIN NR: 2345678

Below the profile details, there are two sections for addresses:

LEGAL ADDRESS		ACTUAL ADDRESS	
Country	USA	Country	USA
City	Illinois	City	Illinois
Address	2972 Westheimer Rd, Santa Ana	Address	2972 Westheimer Rd, Santa Ana

Integrated Corporate Management System

- Make corporate decisions **online**
- **Delegate the authority** in several clicks
- Easy and provable **proxy verification**
- **Revoke proxy** instantly



Stakeholders and Benefits

01 Investors

- Income from investments
- Liquid assets
- Convenient interface
- Direct investment with minimization of intermediaries
- Ability to transfer/ donate an asset
- The ability to buy/sell an asset without the need for transfer between depositories

02 Market regulator

- Project transparency and reliability, which simplifies control
- Financial sector development
- Reducing the cost of financial services

03 Depository systems (securities, land etc.)

- External systems can interact with STO
- Notary system, land registry, corporate systems can reflect their facts to the blockchain
- Reducing the costs for authorization and delegation of powers



04 Issuer

- A wide audience of investors
- Reducing costs by eliminating a number of intermediaries
- Project transparency
- Simplified procedure for attracting investors
- Increased management efficiency
- Keeping accurate history
- More open, transparent market

05 Broker

- Income from the provision of brokerage services for STO and further services
- Reducing the costs by eliminating a number of intermediaries
- Automation of accounting and reduction of the human factor influence on business results

What We Propose

- 1 — Customizable solution for brokers and other financial intermediaries
- 2 — Software protocol which communicates with blockchain-systems and and its' smart contracts
- 3 — Corporate governance mechanism which allows to delegate the authority
- 4 — Fast and reliable support service

Contacts

Thank You!



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